

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Eric Barsness et al : Date: August 21, 2003  
Group Art Unit: Unassigned : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: Unassigned : Dept. 917, Bldg. 006-1  
Filed: Herewith : 3605 Highway 52 North  
Title: **DATA QUERY SYSTEM LOAD  
OPTIMIZATION** : Rochester, Minnesota 559501

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. Unassigned  
Docket No. ROC920030203US1

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: August 21, 2003

EXPRESS MAIL Mailing No. ET747048671US

Date of Deposit: August 21, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lisa M. Plank  
(Signature)

Lisa M. Plank

Respectfully submitted,

By: Leslie J. Payne  
Leslie J. Payne, Attorney  
Attorney Reg. No.: 26,378  
IBM Corporation, Dept 917  
3605 Highway 52 North  
Rochester, MN 55901-7829  
Telephone: (507) 253-2555

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: Unassigned  
Filing Date: Herewith  
First Named Inventor: Eric Barsness  
Art Unit: Unassigned  
Examiner Name: Unassigned  
Attorney Docket Number.: ROC920030203US1

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.